COPY OF PAPERS ORIGINALLY FILED JCO RCT/PTO TS MAN 2007

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

APPLICATE:

THOMAS WESPEL - PCT

MAY 0 1 2002

SERIAL NO.:

09/980,989

GROUP: 2131

**Technology Center 2100** 

FILED:

December 6, 2001

TITLE:

METHOD AND DEVICE FOR CHANGEABLE DEFINING ACCESS

RIGHTS TO COMPUTER FILES

# REQUEST FOR CORRECTED FILING RECEIPT

ATTN:Office of Initial Patent Examination - Customer Service Center Ass't. Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Attached is a copy of the official filing receipt received from the Patent and Trademark Office in the above-identified application.

Under "Domestic Priority Data As Claimed By Applicant",
"07/06/2000" should be --04/06/2000--. Under "Foreign
Applications", --Germany 100 17 121.4, 4/6/2000-- should be added.

The Domestic Priority Date is incorrect and the Foreign Application is missing. This is evidenced by the Declaration, a copy of which is enclosed. The filing receipt should be corrected and issuance of a corrected filing receipt is respectfully requested. As this error was on the part of the PTO, no fee should be associated with this request.

Respectfully submitted, THOMAS WESPEL - PCT

COLLARD & ROE, P.C. 1077 Northern Boulevard Roslyn, New York 11576 (516) 365-9802 Allison C. Collard, Reg. No. 22,532 Edward R. Freedman, Reg. No. 26,048 Attorneys for Applicants

Enclosure:

1. Copy of Official Filing Receipt

2. Copy of Declaration

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231, on March 7, 2002.

Lisa L. Vúlpis

سبون



COMMISSIONER FOR PATENTS

United States Patent and Trademark Office WASHINGTON, D.C. 20231 www.uspto.gov

FIL FEE REC'D ATTY.DOCKET.NO DRAWINGS TOT CLAIMS IND CLAIMS APPLICATION NUMBER FILING DATE GRP ART UNIT WESPEL 445 1 09/980,989 12/06/2001 2131 10 (PCT)

RECEIVED

**CONFIRMATION NO. 3308** 

MAY 0 1 2002

**FILING RECEIPT** 

Collard & Roe 1077 Northern Boulevard

Rosslyn, NY 11576-1696 Technology Center 2100 COPY OF PAPERS **ORIGINALLY FILED** 

OC000000007354339\*

Date Mailed: 01/29/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas Wespel, Mietingen, GERMANY;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/01065 07/06/2000

Foreign Applications

Clermany 100 17. 121 4 4/6/2000

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title** 

Method and device for changeable defining access rights to computer files

**Preliminary Class** 

713



## GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

COMBINED DEC

OLLARD & ROE TENDAMILICATION AND POWER OF ATTORNEY ALLUMELLA

P.02/03

WESPEL-PCT

(Indula Referen

ilus. I haraby declare that:

address and crizenship are as stated luchow next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and fur which a patent is sought on the invention entitled:

# METHOD AND APPARATUS FOR CHANGEABLE DEFINITION OF ACCESS RIGHTS

TO COMPUT	u of which (check only one trem below):	
[]	Is anached heroto.	
[]	was filed as United States application	
RS	Serial No.	
iled ILED	OE	
	and was amended	
	on	(if applicable).
. [X]	was filed a PCT international application	
	Number <u>PCT/DE00/01065</u>	<u> </u>
	(II) 4 APRIL 6 2000	·
	and was amended under PCT Article 19	
		(if applicable).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Litle 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT International suplication(s) designating at least one committy office than the United States of America listed below and have also identified below any foreign application(s) for patent or inventur's certificate or any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIUR FOREIGN/PCT APPLICATION(S) AND ANY PRIORITY CLAIMS LINDER 35 U.S.C. 119: PRIORITY CLAIMED DATE OF FILING APPLICATION NUMBER COUNTRY UNDER 35 U.S.C. 119 (day, month, year) (if PCT, indicate "PCT") 6 APRIL 2000 X YES 100 17 121 4 CERMANY 1140 [ 1NO []NO ] YES ITES

PTO 1331 (NGV, 1043)

U.S. DEPARTMENT OF COLONERGS Patient and Treatment Little

CU	MBINKO DECL	14:56 KATION FO PCT Internet	COL DR PATENT APPLI ional Applications)		ROE POWER OF	ATTORNEY		5 Orneys oci PEL-PCT	55 980 NIJMBER	
1 lu hel		benefit un	des Tüle 35, Unit	rd States Co	nde, Section	119(c) of any Unite	estate be	provisional a	gplication(s) list	
spp of t State	creby claim the lication(s) desi	is not discl	under Title 35, U United States of A lose in that/those	America (ha) prior applica disclose ma	Code, §12 listate listed ution(s) in th	ing Date)  U of any United St. Inches and, insofar a manar provided ination as defined in on(s) and the nation	as the sub by the fire Title 37.	ject matter o n paragraph : Code of Fi	of Title 35, Unit of Title 35, Unit detail Regulation	
			CATIONS OK PC 35 U.S.C. 120:	T INTERN.	ATIONAL J	APPLICATIONS D	FSIGNAT	THE U	LS. FOR	
		U.S.	APPLICATIONS			STATUS (Chook Une)				
	(18, AIMM ICA LIEM		U.S. FILING DATE			PATENTED		LEIDINC VBUILLI		
		<del></del>	**				-			
	PCT	APPLICATION	ONS DESIGNATING	TIE U.S.				·		
ĸ	T APRILITATION	n Pr	PCT PILING DATE U.S. SERIAL ASSIGNE				<u> </u>	:		
the t	Puters and Tracem ALLISO EDWAR ELIZAB	uk Office con N C. COLL D R. FREE ETH COLL	neced therwith. (Li ARU, Rogistratio DMAN, Registrat ARD RICHTER,	st name and re n No. 22,53: nan No. 26,0 Registration	zistration numl 2; 048; 1 No. 33,103	WILLIAM C. COL FREDERICK J. DO	LMAN, I LARD, R DRCHAK GLANZ	Registration   Legistration   Registration   Registration   Registration   Registration	No. 18,628 Io. 38,411 1 No. 29,298	
Send Correspondence to: COLLARD & ROE, P. 1077 Northern Bouleve Roslyn, New York 115				ard			(name und telephone tumber) (516) 365-9802			
2	FULL HANE OF INVENTOR	FAMILY NA			FIRST GIVEN NAME		SECOND GIVEN NAME			
ł	, with the control of	WESPEL	Wespel		THOMAS					
0	KESILIENITE A	CITY			STATE OR FOREIGN COUNTRY  GERMANY		COUNTRY OF	CTTIZENSHIP		
	***************************************		TTNOEN				GERMANY			
1	POST OFFICE	rosi offic	POST OFFICE ADDRESS					STATE & ZIP	CODE/COUNTRY	
	ADURESS	OBEREK WEG 22			D-88457 MIETINGEN		GERMANY			

I hereby doolare that all statements made herein of my own knowledge are true and that all statements made on information and belief are helicaged in he luc; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or improporment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopstate the validity of the application or any patent issuing thereon.

SIGNATURE OF INVENTOR 201		
DATE 18.10 700-1		
IAFIANI (RMA IIRKA)	Pauluf:	U.S. DEPARTMENT OF COMMERCE Priest and Trademade Office

RIVETSON (RIVY HIGHT)
RIVETSON (RIVY HIGHT)

U.S. DEPARTMENT OF COMMERCE Prints and Trademate Office



# United States Patent and Trademark Office

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231

www.uspto.gov

 APPLICATION NUMBER
 FILING DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 DRAWINGS
 TOT CLAIMS
 IND CLAIMS

 09/980,989
 12/06/2001
 2131
 445
 WESPEL (PCT)
 1
 10
 1

Collard & Roe 1077 Northern Boulevard Rosslyn, NY 11576-1696

Date Mailed: 05/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Thomas Wespel, Mietingen, GERMANY:

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/DE00/01065 04/06/2000

Foreign Applications

GERMANY 100 17 121.4 04/06/2000

If Required, Foreign Filing License Granted 05/12/2002

Projected Publication Date: Not Applicable, filed prior to November 29,2000

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Method and device for changeable defining access rights to computer files

Preliminary Class

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

## **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

# **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).